



UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,159	12/19/2001	Krzysztof Pawlowski	P-LJ 5100

23601  
CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

CONFIRMATION NO. 3587

## FORMALITIES LETTER



\*OC000000007378504\*

Date Mailed: 01/28/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$976.
  - \$360 for 20 total claims over 20.
  - \$336 for 4 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1846.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

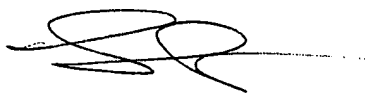
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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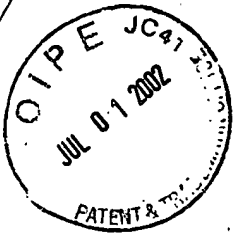
*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

Our Docket: P-LJ 5100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Pawlowski et al.

Serial No.: 10/032,159

Filed: December 19, 2001

For: CARD-DOMAIN CONTAINING  
POLYPEPTIDES, ENCODING  
NUCLEIC ACIDS, AND  
METHODS OF USE

Examiner: Unassigned

Group Art Unit: 1642

I hereby certify that this correspondence is  
being deposited with the United States  
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envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on June 25, 2002.

By Melanie K Webster  
Melanie K. Webster, Reg. No. 45,201

June 25, 2002  
Date of Signature

United States Patent and  
Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

Sir:

TRANSMITTAL

Responsive to the NOTICE TO COMPLY WITH REQUIREMENTS  
FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR  
AMINO ACID SEQUENCE DISCLOSURES mailed January 28, 2002, the  
following are provided:

- X (1) a copy of the NOTICE;
- X (2) a copy of the sequence listing in computer  
readable form;
- X (3) a Communication regarding sequences;
- X (4) paper copy of sequence listing, pages 1  
through 32;
- X (5) a statement under 37 CFR §1.821(f) and (g);
- X (6) a Petition for Extension of Time; and
- X (7) a check in the amount of \$260.00 to cover the  
difference of the two-month extension of time  
previously paid for on May 28, 2002, and the  
three-month extension fee;
- X (8) a copy of the two-month extension of time  
previously filed May 28, 2002.

Inventors: Pawlowski et al.  
Serial No.: 10/032,159  
Filed: December 19, 2001  
Page 2

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: June 25, 2002

  
\_\_\_\_\_  
Melanie K. Webster

Registration No. 45,201  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601



PATENT

Our Docket: P-IM 5100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: )  
Pawlowski et al. )  
Serial No.: 10/032,159 )  
Filed: December 19, 2001 )  
For: CARD-DOMAIN CONTAINING )  
POLYPEPTIDES, ENCODING )  
NUCLEIC ACIDS, AND )  
METHODS OF USE )

Examiner: Unassigned

Group Art Unit: 1642

I hereby certify that this correspondence is  
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envelope addressed to: Commissioner for Patents,  
Washington, D.C. 20231, on June 25, 2002.

By Melanie K. Webster  
Melanie K. Webster, Reg. No. 45,201

June 25, 2002  
Date of Signature

United States Patent and  
Trademark Office  
Box Sequence, P.O. Box 2327  
Arlington, VA 22202

COMMUNICATION

Responsive to the NOTICE TO FILE MISSING PARTS mailed  
January 28, 2002, submitted herewith is a paper copy of the  
sequence listing, pages 1-32, and a computer readable form of the  
sequence listing. Applicants also submit herewith a statement  
under 37 CFR § 1.821(f) and (g).

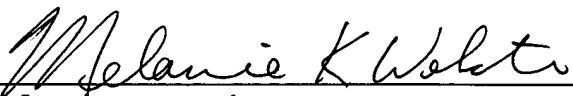
A fee in the amount of \$200.00 to cover the two-month  
extension of time was previously filed on May 29, 2002, a copy of  
which is enclosed. The difference between the two-month  
extension of time already paid for and the three-month extension  
of time is \$260.00, which is enclosed.

Inventors: Pawlowski et al.  
Serial No.: 10/032,159  
Filed: December 19, 2001  
Page 2

The Commissioner is hereby authorized to charge payment of any additional fees associated with the filing submitted herewith or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

June 25, 2002  
Date

  
\_\_\_\_\_  
Melanie K. Webster  
Registration No. 45,201  
Telephone No. (858) 535-9001  
Facsimile No. (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive  
7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601